

# Steven Gale Trapp

## Disposition of Criminal Case

DATE: September 18, 2001 DEFENDANT(S): Steven Gale Trapp of Topeka, Kansas COURT: Shawnee County JUDGE: Honorable Thomas R. Conklin DISPOSITION: Steven Gale Trapp appeared in Shawnee County District court on September 18, 2001 where he pleaded no contest to an amended count of deceptive commercial practices. Trapp, a resident of Topeka, was a registered agent with TL Group, who became the stockbroker for a Kansas resident, who was a patient at Mennigers. Trapp took advantage of this client, by making excessive trades on the account which resulted in significant losses. All other counts were dismissed. The Court sentenced Trapp to serve six months in the county jail. The Court then suspended the sentence and placed Trapp on 24 months probation. Trapp was ordered to pay \$25,000 in restitution.

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.